## Liquid Capital Statement

for the month of 30-APR-21 Of M/s. Arif Habib Ltd.

Submission Date 07-MAY-2021 15:37:01

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| L.15% of the balance sheet value, in the case of tenue from 1-1 years  | .No. | Head of Account   | Value in<br>Pak Rupee | Hair Cut/<br>Adjustments | Net Adjusted<br>Value  |
|--|------|---|-----------------------|--------------------------|--|
| 1.2 Intersement in Govt Securities 1.3 Intersement in Govt Securities 2.3 Intersement in Govt Securities 2.3 Intersement in Govt Securities 2.3 Intersement in Govt Securities 2.4 Intersement in Govt Securities 2.5 Intersement in Govt Securities 3. Intersement in Govt Securities 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the case of tenuer form 1-3 years. 3. Intersement in Govt Securities in the Control of Govt as a computed by the Securities Exchange for segrective securities whichever is bridge. 3. Intersement in Govt Securities in the Control of Govt as a computed by the Securities Exchange for segrective securities whichever is bridge. 3. Intersement in Govt Securities is bridge in Govt of Securities Exchange of Clearing House against the Securities Exchange for Securities in Securities in Control of Securities Exchange of Clearing House against many securities which have a final of Securities Exchange of Clearing House against many securities which have a final securities which have a final securities and the Securities Exchange of Clearing House against Clearing House and Clearing  | 1    | Assets  |                       |                          |  |
| 1.2 Internation foot, Securities 1.3 Investment in Good, Securities 1.4 Investment in Good, Securities International Control of Investment in Securities International Control of Investment International Control of International Control of Investment International Control of Internatio | 1.1  | Property & Equipment  | 1,915,924,270         | 1,915,924,270            |  |
| Investment in Cost, Securities   | 1.2  |   | 6.642.102             | 6 642 102                |  |
| Filested them: 1.56 of the balance sheet value in the case of tenue from 1-3 yeas. 107.012   8.026   |      | Investment in Govt. Securities  | 0,012,102             | 0,012,102                |  |
| 1.5% of the balance sheet value, in the case of tenue up to 1 year.   2,051,565   10,258   1,6   1,258 of the balance sheet value, in the case of tenue of more than 1 years.   107,012   8,025   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the balance sheet value, in the case of tenue of more than 1 years.   1,075 of the part of the part of    | 1.4  |   |                       |                          |  |
| 1.25% of the balance sheet value, in the case of tenues form 1-3 years.   107,012   8,026  |      |   |                       |                          |  |
| In 1985 of the balance sheet value, in the case of tenue of more than 1 yeas.  |      | i. 5% of the balance sheet value in the case of tenure upto 1 year.   |                       |                          | 1,948,598  |
| Fundated than:   1.75% of the balance sheet value in the case of tenure upto 1 year.   1.75% of the balance sheet value, in the case of tenure upto 1 year.   1.75% of the balance sheet value, in the case of tenure from 1-3 years.   1.75% of the balance sheet value, in the case of tenure from than 3 years.   1.75% of the balance sheet value, in the case of tenure from than 3 years.   1.75% of the balance sheet value, in the case of tenure from than 3 years.   1.75% of the balance sheet value, in the case of tenure from than 3 years.   1.75% of the balance sheet value in the case of tenure from the sheet was sheet value.   1.75% of the balance sheet value in the case of tenure from the sheet was sheet value.   1.75% of the balance sheet value in the sheet sheet value.   1.75% of the balance sheet value in the case of tenure from the sheet was sheet value.   1.75% of the balance sheet value in the sheet sheet was sheet sheet sheet was sheet sheet sheet was sheet    |      | iii. 1.5% of the balance sheet value, in the case of tenure from 1–3 years.   | 107,012               | 8,026                    | 98,986   |
| 1.198 of the balance sheet value in the case of tenure upto 1 year.   1.25% of the balance sheet value, in the case of tenure form 1-3 years.   1.5% of the balance sheet value, in the case of tenure form 1-3 years.   1.5% of the balance sheet value, in the case of tenure form 1-3 years.   1.5% of the balance sheet value, in the case of tenure of more than 3 years.   1.5% of the balance sheet value, in the case of tenure of more than 3 years.   1.5% of the balance sheet value, in the case of tenure of more than 3 years.   1.5% of the balance sheet value,   1.5% of the    |      | If unlisted than:   |                       |                          |  |
| 1.12.5% of the balance sheet value, in the case of tenuer from 1-3 years.  |      |   |                       |                          |  |
| Bit 158 of the balance sheet value, in the case of tenues of more than 1 yeas.   |      | ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.   |                       |                          |  |
| Lif listed 15% of Valk of each securities on the cutoff date as computed by the Securities Exchange for expective securities withchever is higher.  Lif unitsted, 10% of carrying value.  Lif unitsted, 10% of carrying value and the value of the cutoff (2.47).  Provided that 10% haircut shall not be applied in case of the cutoff (2.47).  Provided that 10% haircut shall not be applied in case of the cutoff (2.47).  Lif unitsted in favor of Stock Exchange (Cleaning House against Margin Financing equiements or pledged in favor of Stock Exchange (Cleaning House against Margin Financing equiements or pledged in favor of Stock Exchange (Cleaning House against Margin Financing equiements or pledged in favor of Stock Exchange (Cleaning House against Margin Financing arangements. In such cases, the haircut as provided in checked life of the Regulations in respect of investment in associated companies undertaking  Lif finalized, 10% of each securities as computed by the Securities Exchange for respective securities  Lif funities, 10% of the value.  Lif unitsted, 10% of the securities as computed by the Securities Exchange for respective securities  Lif unitsted, 10% of the securities as computed by the Securities Securit |      | iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  |                       |                          |  |
| legocitive securities whichever is higher.  Il if unified 10% of capting value.  Il would be supported to the securities of the securities | 1.5  | Investment in Equity Securities   |                       |                          | ***************************************  |
| legactive seculites whichever is higher.  In If united, 10/80 of certainty value,  In Interest, 10/80 of certainty value,  In Interest, 10/80 of certainty value,  In Interest, 10/80 of certainty value,  Interest, 10/80 of certainty v |      | i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for  | 2,188,795,505         | 514,552,062              | 1,674,243,443  |
| Ill. Subsciption money against investment in iPOoffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of socurities broker.  |      |   |                       |                          |  |
| pervided that shares have not been alloted or are not included in the investments of securities broker.  In this result and be applied to Value of investment in any asset including shares of listed securities that are in Block, Freeze or Predge status as on reporting date, (July 19, 2017) Prediction for 10 Ms Packet shall not be applied in case of investment in those securities which are Prediction for 10 Ms Packet shall not be applied in case of investment in those securities which are Prediction for 10 Ms Packet shall not be applied in case of investment in those securities in provided in schedule iii of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  Investment in associated companies/undertaking I.I il investment in associated companies/undertaking I.I il investment in associated companies/undertaking I.I investment in associated investment in associated companies/undertaking I.I investment in associated investment in associated companies/undertaking I.I investment in associated companies/undertaking I.I investment in associated investment in associated companies/undertaking I.I investment in associated investment in associated associated and the associated and investment in associated investment in associated in associated investment investment in associated investment in associated in associated in associated in associated in associated in associated in associat |      | III. IT UNIISTED, 100% Of Carrying value.   |                       |                          |  |
| will 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities which are in Block, Freeze or Pfedge stans as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are provided that 100% haircut shall not be period in case of investment in stock securities which are schedule III of the Requisitions in respect of investment in securities which were provided that 100% and the securities shall be applicable (August 25, 2017)  Investment in subdiadres  Investment in s |      | included that shares have not been alloted or are not included in the investments of societies before   |                       |                          |  |
| that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% historic shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange IC learing House against Margin Financing requiements or pledged in Stock Exchange IC learing House against Margin Financing requiements or pledged schedule I of the Requiableton in Report of Investment. In such cases, the hazeut as provided in schedule II of the Requiement in associated companies/fundertaking.  I investment in subdiance  I, If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.  I, If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher.  II, If unitsed, 100% of net value.  III  |      | iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities  |                       |                          | ***  |
| Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against short form financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in subsidiates.  1.7 Investment in associated companies/fundertaking   Investment in associated companies/fundertaking   It filted 20% or Van Ge ach securities as computed by the Securities Exchange for respective securities whichever is higher.  1.8 It funitised. 10% of net value.  1.9 It funitised. 10% of net value.  1.1 United 20% or Van Ge ach securities as computed by the Securities Exchange for respective securities whichever is higher.  1.1 United 20% or Van Ge ach securities as computed by the Securities Exchange for respective securities whichever is higher.  1.1 United 20% or Van Ge ach securities as computed by the Securities Exchange for respective securities whichever is higher.  1.1 Objects of the Securities of the Se |      | that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)  |                       |                          |  |
| In favor of Banks against Short ferm financing arrangements. In such cases, the haricut as provided in schedule III of the Requisitations in respect of investment in securities shall be applicable (August 25, 2017)  1.5. Investment in associated companies/undertaking 1.6. If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher. 1.8. If unitsed, 100% of net value. 1.9. Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1.9. Margin deposits with exchange and clearing house. 1.10. Margin deposits with exchange and clearing house. 1.11. Deposit with authorized intermediary against borowed securities under SLB. 1.12. Accordant Interest point or mark—up on amounts placed with financial institutions or debt securities 1.13. Dividends receivables. 1.14. Amounts receivable against Repo financing, 1.15. In Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months 1.16. Receivables on the time investments. 1.17. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.16. Receivables of claims other than those on account of entitlements against trading of securities in all markets including MM gains. 1.16. Receivables from clearing house or securities exchange(s) 1.17. Receivables on entitlements against trading of securities in all markets including MM gains. 1.18. Receivables are against straining, the aggregate if (i) value of securities in all market value of any securities against margin financing, the aggregate if (ii) value of securities held in the blocked account effect and polying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) market value of any securities provides and polying VAR based haircut. 1. Lover of not balance as against trading of securities held in the value of securities provides a reagainst securities becomes and held in |      | Provided that 100% haircut shall not be applied in case of investment in those securities which are   |                       |                          |  |
| schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in associated companies/undertaking   |      | Pledged in favor of Stock Exchange   Clearing House against Margin Financing requirements or pledged in favor of Panks against Short Torm financing against Alam House against National Research Indiana against Short Torm financing against National Research Indiana against National Research |                       |                          |  |
| Investment in associated companies/undertaking   |      | In ravoi of balks against short term financing arrangements, in such cases, the haircut as provided in  |                       |                          |  |
| Investment in associated companies/undertaking I. If listed 20% or Vals of each securities as computed by the Securites Exchange for respective securities whichever is higher. II. If unitsed, 100% of net value. II. If unitsed, 100% of net value. III. III. If unitsed, 100% of net value. III. III. III. III. III. III. III. II   | 1.6  | Investment in subsidiaries  | 81 558 105            | 91 559 105               |  |
| If listed 20% or Valk of each securities as computed by the Securites Exchange for respective securities whichever is higher.  | 1.7  |   | 01,000,100            | 01,000,100               |  |
| whichever is higher. ii. if unitsed, 100% of net value. iii. fluristed, 100% of net value. 3.8 Statutory or regulatory deposits with the exchanges, clearing house or central depository or any other entity. 3.9 Margin deposits with exchange and clearing house. 3.10 Deposits with exchange and clearing house. 3.11 Other deposits with exchange and clearing house. 3.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 3.13 Other deposits and prepayments 4.14 Amounts receivable against Repo financing, 3.15 Dividends receivables. 3.16 Dividends receivables. 3.17 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 3.18 Li. Short Term Loan To Employees Loans are Secured and Due for repayment within 12 months 4. In 1. Short Term Loan To Employees Loans are Secured and Due for repayment within 12 months 5. I. Short Term Loan To Employees Loans are Secured and Due for repayment within 12 months 6. I. Short Term Loan To Employees Loans are Secured and Due for repayment within 12 months 7. I. One Securities other than trade receivables 7. I. One Securities of Calaring house or Securities exchange(s) 7. I. One Securities of Calaring house or Securities exchange(s) 7. I. One Securities on Clearing house or Securities in all markets including MtM gains. 8. I. Receivables on entitlements against trading of securities in all markets including MtM gains. 8. I. Receivables on entitlements against trading of securities held in the market value of any securities deposited as collateral after apphying VAR based haircut. 8. I. I. Case receivables are against margin financing, the aggregate if (i) value of securities held in the market value of any securities deposited as collateral after apphying VAR based haircut. 8. I.  |      | i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities  |                       |                          |  |
| Statutory or regulatory deposits with the exchanges, clearing house or central depository or any other entity.   |      | whichever is higher.  |                       |                          |  |
| or any other entity.    Margin deposits with exchange and clearing house.   1.10   Deposit with authorized intermediary against borrowed securities under \$LB.   1.11   Other deposits and prepayments   79,892,912   79,892,912     1.12   Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (kill)   100% in respect of markup accrued on loans to directors, subsidiaries and other related parties   100% in respect of markup accrued on loans to directors, subsidiaries and other related parties   1.13   Dividends receivables.   1.14   Amounts receivable against Repo financing, Amounts receivables other than the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  | 4.0  | ii. If unlisted, 100% of net value.   |                       |                          |  |
| Margin deposits with exchange and clearing house.  | 1.8  | Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository  | 1,369,164             | 1,369,164                |  |
| 1.10   Deposit with authorized intermediany against borrowed securities under \$LB.  | 19   | Margin denosits with exchange and clearing house  |                       |                          |  |
| 1.11 Other deposits and prepayments 1.12 Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII) 1.08 in respect of markup accrued on loans to directors, subsidiaries and other related parties 1.13 Dividends receivables. 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments) 1.15 in observables of the state of the investments. 1.16 Receivables other than trade receivables 1.17 Receivables other than trade receivables 1.18 Receivables from clearing house or securities exchange(s) 1.19 Now value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.19 Receivables from customers 1.10 Receivables from customers 1.11 Receivables from customers 1.12 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, 1.11 Lower of net balance sheet value or value determined through adjustments. 1.12 Lower of net balance sheet value or value determined through adjustments. 1.13 In case receivables are against margin financing, the net balance sheet value. 1.14 Ret amount after deducting haircut 1.15 In case receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, 1.15 In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1.25 Values of sociuties purchased for customers and held in sub-accounts after applying VAR based haircuts. 1.26 Values of sociuties purchased for customers and held in sub-accounts after applying VAR based haircuts. 1.26 Values of sociuties purchased for customers and held in sub-accounts after applying VAR based haircuts. 1.27 Values of sociuties purchased for cus |      | Deposit with authorized intermediary against borrowed securities under SLB.   |                       |                          |  |
| Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 11.3 Dividends receivables.  1.1.4 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shell not be included in the investments.)  1.1.5 In Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 1,011,910 0 1,015.  1.1.6 Receivables other than trade receivables 28,747,791 28,747 |      | Other deposits and prepayments  | 79.892.912            | 79 892 912               |  |
| 100% in respect of makup accrued on loans to directors, subsidiaries and other related parties   1.13   Dividends receivables (  | 1.12 | Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities  |                       | 70,002,012               |  |
| Dividends receivables   Dividends receivables   Dividends receivables   Dividends receivables against Repo financing.   Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)   Dividends repo arrangement   Dividends repo arrangement   Dividends receivables   Dividends receivables   Dividends repo arrangement   Dividends receivables   Dividends receiv   |      | etc.(Nil)   |                       |                          |  |
| Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15  1.16  1.17  1.18  1.19  1.19  1.19  1.10  1.1 | 1 13 | Dividends receivables   |                       |                          |  |
| Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.6  Receivables other than trade receivables  Receivables from Clearing house or securities exchange(s)  I. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  II. Receivables from customers  II. In case receivables are against trading of securities in all markets including MtM gains.  II. Receivables from customers  I. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  I. Lower of net balance sheet value or value determined through adjustments.  II. Incase receivables are against margin funding, 5% of the net balance sheet value.  II. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting haircut  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting haircut  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  III. Net amount after deducting haircut  III. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral by the respective under and the paid to NCCPL as collateral by the respective under and the paid to NCCPL as collateral by the respective under and the paid to NCCPL as collateral by the respective under and the paid to NCCPL as collateral by the respective under and the paid to NCCPL as collatera |      |   | 6 553 534             |                          | 6,553,534  |
| shall not be included in the investments.]  1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  ii. Receivables other than trade receivables  Recelvables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  ii. Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (i) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balance-customer accounts  5.1,304,127  0.51,306,543,743  0.1,396,543,743  0.1,396,543,743  |      | Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement   | 0,000,004             | ٥                        | 6,555,554  |
| ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables on entitlements against trading of securities in all markets including MtM gains. I. Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, ii. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, (ii) cash deposited as collateral to the case of amount receivable form related parties.  1.18  Cash and Bank balances  5.1,304,127   |      | shall not be included in the investments.)  |                       |                          |  |
| 1.16 Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  ii. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities had as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  Cash and Bank balances  51,304,127  0 51,306,543,743  0 1,336,543,743  0 1,336,543,743   | 1.15 | i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months   | 1,011,910             | 0                        | 1,011,910  |
| 1.16 Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  ii. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities had as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  Cash and Bank balances  51,304,127  0 51,306,543,743  0 1,336,543,743  0 1,336,543,743   |      | ii Pagajyahlar athar than trada ragajyahlar   | 00 7/7 70/            |                          |  |
| i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  iii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 46,219,478  value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  51,304,127  o 51,304  1,396,543,743  o 1,396,543,743   | 1.16 |   | 28,747,791            | 28,747,791               | The state of the s |
| markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  ii. Bank Balance-proprietory accounts  iii. Bank balance-customer accounts  1,396,543,743  0 1,396,543,743  |      | i. 100% value of claims other than those on account of entitlements against trading of securities in all  |                       |                          |  |
| Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  i. 1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  51,304,127  0 51,306,543,743  0 1,396,543,743  |      | markets including MtM gains.  |                       |                          |  |
| i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  51,304,127  0 51,304  1,396,543,743  0 1,396,543  |      | ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  |                       |                          |  |
| blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  I.Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,396,543,743 0 1,396,543,743 0 1,396,543,743  |      |   |                       |                          |  |
| market value of any securities deposited as collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  1.396,543,743  0 1,396,543,743   |      | i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the   | 232,832,918           | 239,243,260              | 232,832,918  |
| i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  1.396,543,743  o 1,396,543,743  | 100  | DIOCKED account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)   |                       |                          |  |
| ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  1,396,543,743  0 1,396,543,743  |      |   |                       |                          |  |
| ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  I.Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,396,543,743 0 1,396,543,743  |      | ii. Incase receivables are against margin trading, 5% of the net balance sheet value.   |                       |                          |  |
| collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  51,304,127 0 51,306 1,396,543,743 0 1,396,543,743  |      | ii. Net amount after deducting haircut  |                       |                          |  |
| iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,396,543,743 0 1,396,543,743   |      | iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as  |                       |                          |  |
| iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  1,396,543,743  0 179,52  46,21  46,21  46,21  47,21  46,21  47,21  4 |      |   |                       |                          |  |
| iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  1,396,543,743  0 1,396,54  |      | iv Incase of other trade receivables not more than 5 days everdue, 6% of the not belonce shoot value  | 470 500 470           |                          | 470 500 470  |
| V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  1,396,543,743  0 1,396,543,743   |      | iv. Balance sheet value   | 179,532,173           | 0                        | 179,532,173  |
| value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,396,543,743 0 1,396,54  |      | v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market  | 46.219.478            | *******                  | 46,219,478   |
| securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,396,543,743 0 1,396,54   |      | value of securities purchased for customers and held in sub-accounts after applying VAR based   | ,,                    |                          | 40,210,410   |
| vi. 100% haircut in the case of amount receivable form related parties.       Image: Cash and Bank balances or Bank Balances or Bank Balance-proprietory accounts or Bank Balance-customer accounts or Bank  |      | haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of  |                       |                          |  |
| 1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       51,304,127       0       51,30         ii. Bank balance-customer accounts       1,396,543,743       0       1,396,54  |      |   |                       |                          |  |
| I. Bank Balance-proprietory accounts         51,304,127         0         51,33           ii. Bank balance-customer accounts         1,396,543,743         0         1,396,5   | 1.18 |   |                       |                          |  |
| ii. Bank balance-customer accounts 1,396,543,743 0 1,396,5   | 1.10 |   | F4 004 407            |                          | 51 001 (=  |
| 1,000,0  |      |   |                       |                          | 51,304,127<br>1,396,543,743  |
| 3,090,91/1 01 3.09   |      | iii. Cash in hand   | 3,096,917             | 0                        | 3,096,917  |

## Liquid Capital Statement

for the month of 30-APR-21 Of M/s. Arif Habib Ltd.

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| .No. | Head of Account  | Value in<br>Pak Rupee      | Hair Cut/<br>Adjustments | Net Adjusted<br>Value      |
|------|--|----------------------------|--------------------------|----------------------------|
| 1.19 | Total Assets   | 6,222,182,817              | ******                   | 3,593,385,827              |
| 2    | Liabilities  |                            |                          |                            |
| 2.1  | Trade Payables   |                            |                          |                            |
|      | i. Payable to exchanges and clearing house ii. Payable against leveraged market products   |                            |                          |                            |
|      | iii. Payable to customers  | 1,369,536,203              | 0                        | 1,369,536,203              |
| 2.2  | Current Liabilities  |                            |                          |                            |
|      | i. Statutory and regulatory dues ii. Accruals and other payables   | 400 040 000                |                          |                            |
|      | iii. Short-term borrowings   | 120,843,308<br>484,339,024 | 0                        | 120,843,308<br>484,339,024 |
|      | iv. Current portion of subordinated loans  | ,                          | <u> </u>                 | 404,000,024                |
|      | v. Current portion of long term liabilities vi. Deferred Liabilities   |                            |                          |                            |
|      | vii. Provision for bad debts   |                            |                          |                            |
|      | viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements   | 202,487,635                | 0                        | 202,487,635                |
| 2.3  | Non-Current Liabilities  |                            |                          |                            |
|      | i. Long-Term financing   |                            |                          |                            |
|      | a. Long-Term financing obtained from financial instituion; Long term portion of financing obtained from  | 0                          | 0                        |                            |
|      | a financial institution including amount due against finance lease   |                            |                          | 0.000                      |
|      | b. Other long-term financing ii. Staff retirement benefits   | 1                          | 0                        | 1                          |
|      | iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in   |                            |                          |                            |
|      | respect of advance against shares if:  |                            |                          |                            |
|      | a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital   |                            |                          |                            |
|      | c. Relevant Regulatory approvals have been obtained  |                            |                          |                            |
|      | d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements   |                            | - 11 - 12 - 12 - 12      |                            |
|      | relating to the increase in paid up capital have been completed.  e. Auditor is satisfied that such advance is against the increase of capital.  |                            |                          |                            |
|      | iv. Other liabilities as per accounting principles and included in the financial statements  | 27,682,574                 | 0                        | 27,682,574                 |
| 2.4  | Subordinated Loans   |                            |                          |                            |
|      | i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No haircut will be allowed against short term portion which is repayable within next 12 months.  c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. |                            |                          |                            |
|      | ii. Subordinated loans which do not fulfill the conditions specified by SECP   |                            |                          |                            |
| 2.5  | Total Liabilites   | 2,204,888,745              | 0                        | 2,204,888,745              |
| 3    | Ranking Liabilities Relating to :  | 2,201,000,710              | •                        | 2,204,000,743              |
| 3.1  | Concentration in Margin Financing  |                            |                          |                            |
|      | The amount calculated client-to- client basis by which any amount receivable from any of the   |                            |                          |                            |
| 3.2  | financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing  |                            |                          |                            |
| 0.2  | The amount by which the aggregate of:  |                            |                          |                            |
|      | (i) Amount deposited by the borrower with NCCPL  |                            |                          |                            |
|      | (li) Cash margins paid and<br>(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares  |                            |                          |                            |
|      | borrowed   |                            |                          |                            |
| 3.3  | Net underwriting Commitments   |                            |                          |                            |
|      | (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription  |                            |                          |                            |
|      | price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and   |                            |                          |                            |
|      | (ii) the value by which the underwriting commitments exceeds the market price of the securities.   |                            |                          |                            |
|      | In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting   |                            |                          |                            |
|      | (b) in any other case: 12.5% of the net underwriting commitments   |                            |                          |                            |
|      | Negative equity of subsidiary  |                            |                          |                            |
|      | The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)  |                            |                          |                            |
|      | exceed the total liabilities of the subsidiary   |                            |                          |                            |
|      | Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total   |                            |                          |                            |
|      | assets denominated in foreign cuurency less total liabilities denominated in foreign currency  |                            |                          |                            |
| 3.6  | Amount Payable under REPO  |                            |                          |                            |
| 3.7  | Repo adjustment  |                            |                          |                            |
|      |  |                            |                          |                            |

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of 30-APR-21 Of M/s. Arif Habib Ltd.

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| .No. | Head of Account  | Value in      | Hair Cut/   | Net Adjusted  |
|------|--|---------------|-------------|---------------|
|      |  | Pak Rupee     | Adjustments | Value         |
| 3.8  | In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received , less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  |               |             |               |
|      | the value of such security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.   | 0             | 36,680,825  | 36,680,825    |
| 3.9  | Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met  |               |             |               |
|      | Short sell positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts. |               |             |               |
|      | Total Ranking Liabilites   | 0             | 36,680,825  | 36,680,825    |
| 3.12 | Liquid Capital   | 4,017,294,072 | ********    | 1,351,816,257 |