Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-JUN-17** 

of M/s. Arif Habib Ltd.

Submission Date 14-JUL-2017 20:51:19

S.No.         Bead of Account         Value 4n         Disk Rugad         Disk Rugad         Disk Rugad         Value 4n         Value 4n           1.1         Property & Equipment         400,340,64         400,340,64         400,340,64         400,340,64           1.3         Immemme in Geol Securities         100,92,325         400,340,64         400,340,64         400,340,64           1.4         Immemme in Geol Securities         100,92,325         210,97,366         100,92,325         100,351,397           1.5         of the balance based value, in the case of feature use to tage.         221,097,366         201,97,3		Subinission Date 14-JUL-2017 20.31.17		Page 2	1 Of 3
Pick Number         Adjustment         Value           1         Assist         410, 140,041         410, 140,041           10         Import V. Equipment         410, 140,041         410, 140,041           11         Import V. Equipment         410, 140,041         410, 140,041           12         Import V. Equipment         100,050,050         100,050,050           13         Import V. Equipment         201,007,056         100,054,007         101,045,057           14         Import V. Equipment         201,007,056         100,054,007         101,045,057           14         Import V. Equipment         201,007,056         100,054,007         101,045,057           15         Import V. Equipment         201,007,056         100,054,007         101,045,057           16         Import V. Equipment         100,054,007         101,045,057         100,054,007         101,045,057           16         Import V. Equipment         100,054,007         101,045,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         101,054,057         10	S.No.	Head of Account	Value in		
1.1       Prografty & Ecupionent       440.4400H       440.4400H         1.3       Investment in Gotti Securities       10,003.95         1.4       Investment in Gotti Securities       10,003.95         1.4       Investment in Gotti Securities       10,003.95         1.5       Internet in Gotti Securities       10,003.95         1.6       Internet in Gotti Securities       10,003.95         1.7       Internet in Gotti Securities       10,003.95         1.8       Internet in Gotti Securities       201.07.285         1.8       Internet in Gotti Securities       10,003.95         1.8       Internet in Gotti Securities       201.07.285         1.9       Internet in Gotti Securities       10,003.95         1.1       Internet in Gotti Securities       10,003.95         1.1       Internet in Cottis Cotti Securities					-
1. Proceedings of all optimized         10,095,935         10,095,935         10,095,935           1. More than in Good Securities         201,097,936         10,095,935         10,095,935           1. Stort the balance check value in the case of tenue upon 1 was.         201,097,936         10,095,897         191,080,075           1. Stort the balance check value. In the case of tenue upon 1 was.         201,097,936         201,097,936         20,097,936         20,097,936         10,095,897         191,080,075         20,057,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,097,936         20,000,000	1	Assets			
1.2         Image and the Sect of	1.1	Property & Equipment	410,140,041	410,140,041	
14       Investment in Deck. Securities         25.4 of the bolence whet value in the case of lense prior 1 years.       301.007.08         1.5.5 of the bolence whet value in the case of lense prior 1 years.       388.816         1.5.6 of the bolence whet value in the case of lense prior 1 years.       388.816         1.5.75 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the Case of lense prior 1-years.       388.816         1.5.76 of the bolence whet value in the case of lense prior 1-years.       388.816         1.6.76 of the bolence whet value in the Case of lense prior 1-years.       388.816         1.6.76 of the bolence whet value in the Case of lense prior 1-years.       388.817         1.77 bolence whet is bolence whet value in the case of lense prior 1-years.       388.817         1.78 bolence bolence value in the ca	1.2		10,093,935	10,093,935	
If listed than:         201,097,938         100,054,097           1.3% of the balance there value in the case of tenue upto 1 year.         395,016         28,766         366,020           1. USE of the balance there value in the case of tenue of the 1 year.         395,016         28,766         366,020           1. USE of the balance there value. In the case of tenue of the 1 year.         4         4         4           1. USE of the balance there value. In the case of tenue of more than 1 year.         4         4         4           1. USE of the balance there value. In the case of tenue of more than 1 year.         4         4         4           1. The balance there value. In the case of tenue of more than 1 year.         4         4         4           1. USE of the balance there value. In the case of tenue of more than 1 year.         4         4         4           1.1 Weet the balance there value. In the case of tenue of more than 1 year.         4         4         4           1.1 Weet there is a tenue of the balance there value. In the case of tenue of the balance there value. In the case of tenue tonue than 1 year.         4         4         4           1.1 Weet there is a tenue of the tenue of the balance there value is the case of tenue of the balance there value is the case of tenue of the balance there value is the case of tenue of the balance there value is the case of tenue of					
12 St of the balance sheet value, in the case of ferme upto 1 year.         20.007.938         10.064.807         191.043.03           12 JS of the balance sheet value, in the case of ferme gen1 - year.         383.81         28.78         385.03           11 DS of the balance sheet value, in the case of ferme gen1 - year.         383.91         28.78         385.03           11 DS of the balance sheet value, in the case of ferme gen1 year.         10.001         10.001         10.001           12 DS of the balance sheet value, in the case of ferme gen1 year.         10.001         10.001         10.001           15 In residence sheet value, in the case of ferme gen1 year.         10.001         10.	1.4				
II. 25% of the balance sheet value, in the Case of tenue from 1-3 years.         383.816         28,788         385.031           III. 10% of the balance sheet value, in the case of tenues from 1-3 years.			004 007 000	40.054.007	101.010.000
II. 1056 of the balance shoet value, in the case of tenue of more than 3 years.         II. 1056 of the balance shoet value in the case of tenue spet 1 year.           II. 2056 of the balance shoet value in the case of tenue spet 1 year.         II. 2056 of the balance shoet value in the case of tenue spet 1 year.           II. 2056 of the balance shoet value in the case of tenue spet 1 year.         II. 2056 of the balance spet value in the case of tenue spet 1 year.           II. Investment In Equity Securities         II. 2016 of the balance spet value in the case of tenue spet 1 year.           II. Investment In Equity Securities         II. 2016 of the balance spet value in the case of tenue spet 1 year.           II. Investment In Spectra spect					
I 10% of the balance shoet value in the case of terms opto 1 year.         III           III 20% of the balance shoet value, in the case of ferms of mole than 1 years.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII			505,010	20,700	
II. 1255: of the balance sheet value, in the case of fearure from 1-3 years.         III. 1255: of the balance sheet value, in the case of fearure from 2-3 years.           II. Termstered in Equity Securities         III. Termstered in Equity Securities         2.66.254.794         324.431.706         1.731.623.066           III. Training the value in the case of fearure from 2-3 years.         III. Training the value in the value in the Volter for site. Amount pair as subcorption money applies on the call off date as computed by the Socurities Section prove (III. 1771.623.066         2.66.254.794         324.431.706         1.731.623.066           III. Subsciences Companies undertaking provided that shares have not been alleled or are not included in the investment of securities broker         88.000.000 <td></td> <td></td> <td></td> <td></td> <td></td>					
II. 195. of the balance sheet value, in the case of ferure of more than 2 yeas.         II.           II. Investment in Equity Social Society Social Socia Social Social Social Social Social Social Social Social					
15       Investment in Equity Securities					
LF listed 15% or Vak of each securities on the cutoff date as computed by the Securities Exchange for social securities where it is a social securities in the investment of the Securities is where it is a social securities is where it is a social securities is a social	1.5				
II function         Education money against Invostment in IPOxIfter for Sale: Amount paid as subscription money provided that shares have not been allored or are not included in the investments of securities toxice.         88.000.000           11         Investment in subbladies         88.000.000           12         Investment in subbladies         88.000.000           13         Investment in Subbladies         1.027.694.338         554.696.450           14         Investment in Subbladies         1.027.694.338         554.696.450           15         Intestment in Subbladies         1.027.694.338         554.696.450           16         Statutory origitatory depositiosatic depositesatic depositiosatic depositesatic depositiosatic dep			2,056,254,794	324,431,708	1,731,823,086
III Subscription money against investment in IPO/DFF for Sale: Amount paid as subscription money provided that shares have not been allocid or an ent included in the investments of socurities include.         88.000.00           11         Investment in associated companies/underfaking         1.0000           12         Investment in associated companies/underfaking         1.0000           13         Investment in associated companies/underfaking         1.0000           14         Investment in associated companies/underfaking         1.00000           15         Statutory or suplicity of equality deposition with the exchanges, clearing house or central depository         17.756,228         17.756,228           16         Margin deposits with exchange and clearing house.         16.664,417         0         16.664,417           10         Deposition than those or manute place or currents and prepayments.         121,425,768         121,425,768           11         Amounts receivable against Report on manute place of the current on adaption to an eta or currents place on the mark-up on amounts placed with financial institutions or debt securities         121,426,769         0           11.0         Margin deposits with exchange and clearing house or currents         121,425,769         121,425,769         121,425,769           11.1         Amounts receivables and prepayments.         121,425,769         121,425,769         121,425,769         121,425,759 <td></td> <td></td> <td>00.054.400</td> <td>00.054.400</td> <td></td>			00.054.400	00.054.400	
provided that stars have not been alloted or are not included in the investments of securities boker.         88,000,000           1.1         Investment in associated companies/undertaking         88,000,000           1.1         Investment in associated companies/undertaking         1.027,694,338         564,696,450           1.1         Investment in associated companies/undertaking         1.027,694,338         564,696,450           1.1         Ontack of net value.         1.027,698,228         17,758,228         17,758,228           1.1         Ontack oposits with exchange and clearing house or central depository         17,758,228         17,758,228           1.1         Obtack oposits with exchange and clearing house         1.66,64,417         0         1.66,64,417           1.10         Obtack oposits with exchange and clearing house         1.21,025,769         121,025,769         121,025,769           1.12         Check oposits with exchange and clearing house         121,025,769         0         26,007,569           1.14         Ancount paids apurchaor under the REPO agreement. (Securities purchased under repe arangement thal in the investments).         0         0         26,007,569         0         26,007,569         0         26,007,569         0         26,007,569         0         26,007,569         0         26,007,569         0         26,007,569 <td></td> <td></td> <td>62,651,420</td> <td>62,651,420</td> <td></td>			62,651,420	62,651,420	
1.7       Investment in associated companies/undertaking					
If Bitsd 20% or VaR of each securities as computed by the Securities Exchange for respective securities       1,027,694,338       554,696,450       472,997,888         whichever is higher.       II. Hunisted, 100% of net value.       II. Statutory or regulatory depositions to deposits with the exchanges, clearing house or central depository       17,758,228       II. 758,228         18       Statutory or regulatory deposits and deposits with the exchanges, clearing house or central depository       17,758,228       II. 6,564,417       0       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769       121,925,769 <td></td> <td></td> <td>88,000,000</td> <td>88,000,000</td> <td></td>			88,000,000	88,000,000	
whichever is higher.         iii function           iii function         iiii function         iiii function         iiiii function           18         Statutory or regulatory depositions         iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	1.7		4 007 004 000		(=0.00=.000
I. If unitsed_10% of net value         1.7.55.228           18         Statutory or regulatory deposits with the exchanges, clearing house or central depository or any other entity.         17.758.228           10         Margin deposits with exchange and clearing house.         16.564.417         0         1			1,027,694,338	554,696,450	472,997,888
or any other effitty.         11.9         Magin deposits with acchange and clearing house.         15.64.417         0         16.564.417		ii. If unlisted, 100% of net value.			
1.9       Margin deposite with exchange and clearing house.       16,564,417       0       16,564,417         1.10       Deposite with authorized intermediary against borowed securities under SL8.       121,925,769       121,925,769         1.11       Other deposits and prepayments       121,925,769       121,925,769         1.12       Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities exclusive against borowed securities purchased and other related parties       121,925,769       0         1.13       Dividends incovination or mark-up on amounts placed with financial institutions or debt securities       26,007,569       0       26,007,569         1.14       Amount face walke against the himestiments.       26,007,569       0       26,007,569       4,034,172       0       4,034,172       0       4,034,172       0       4,034,172       0       0       0       116       180,785,522       183,785,522       183,785,522       183,785,522       183,785,522       183,785,522       183,785,522       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725       0       57,237,725	1.8		17,758,228	17,758,228	
1.10       Deposit with authorized intermediary against borowed securities under SLB.       121,925,769         1.11       Other deposits and prepayments       121,925,769         1.12       Accrued interest, profit or mak-up on amounts placed with financial institutions or debt securities       121,925,769         1.13       Dividends receivables       121,925,769         1.14       Other deposits and prepayments       26,007,569       0         1.13       Dividends receivables       26,007,569       0       26,007,569         1.14       Data is inducing and the induced in the investments)       141,302,007,569       0       26,007,569       0       26,007,569         1.14       Dividends receivables       183,785,522       183,785,522       183,785,522       11.11       11.16       Receivables other than tade receivables       183,785,522       11.17       Receivables on clearing house or securities exchange(s)       1       100% value of claims other than those on account of entiltements against trading of securities in all       0       0       0         1.14       Receivables one mittlements against trading of securities held in the biokcde account after applying VA based Haircut.       1       1       0       0       7,237,725       0       57,237,725       0       57,237,725       398,943,312       398,943,312       398,943,312	19		16 564 417	0	16 564 417
11:2       Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities <ul> <li>etc.(Ni)</li> <li>100% in respect of markup accured on loans to directors, subsidiaries and other related parties</li> <li>11:3</li> <li>Dirdends tecevabels.</li> </ul> <ul> <li>26,007,569</li> <li>26,007,569<td></td><td></td><td>10,004,417</td><td>0</td><td>10,004,417</td></li></ul>			10,004,417	0	10,004,417
etc.(Nil)         10% in respect of markup acclued on loans to directors, subsidiaries and other related parties         111           113         Dividends receivables         26,007,569         0         26,007,569           114         Amount seceivables         26,007,569         0         26,007,569           115         L Shott Fem Loan 10 Employees: Loans are Secured and Due for repayment within 12 months         4,034,172         0         4,034,172           11.6         Receivables other than trade receivables         183,785,522         183,785,522         183,785,522           11.7         Receivables on celearing house or securities exchange(S)         2         2         1           1.8         Receivables on celearing house or securities nall markets including MtM gains.         57,237,725         0         57,237,725           1.17         Receivables are applying VAR based haicut.         1         2         0         2           1.18         Receivables are applying VAR based haicut.         1         2         0         57,237,725         0         57,237,725           1.17         Receivables are applying VAR based haicut.         1         2         0         38,943,312         398,943,312         398,943,312         398,943,312         398,943,312         398,943,312         398,943,312         3			121,925,769	121,925,769	
100% in respect of markup accued on lears to directors, subsidiaries and other related parties	1.12				
1.14       Amounts receivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).       26,007,569       0       26,007,569         1.15       I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       4,034,172       0       4,034,172         1.16       Receivables other than trade receivables       183,785,522       183,785,522       1         1.16       Receivables other than those on account of entitiements against trading of securities in all matkets including MIM gains.       0       0         1.17       Receivables other than those on account of entitiements against trading of securities in all matkets including MIM gains.       0       0         1.17       Receivables are against trading of securities in all markets including MIM gains.       57,237,725       0       57,237,725         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after aphying VAR based haircut.       50,1609,753       398,943,312       398,943,312         1.107       Receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, ii. Incase receivables are against securities haircut       33,946,129       0       33,946,129       0       33,946,129       0       33,946,129       0       33,946,129 <td></td> <td></td> <td></td> <td></td> <td></td>					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         1.15           1.15         i. Short Term Loan To Employees. Loans are Secured and Due for repayment within 12 months         4.034,172         0         4.034,172           1.16         Receivables other than trade receivables         183,785,522         183,785,522         1           1.16         Receivables from Clearing house or securities exchange(s)         0         0         0           1.17         Receivables from clearing house or securities in all markets including MtM gains.         57,237,725         0         57,237,725           1.17         Receivables are against trading of securities in all markets including MtM gains.         57,237,725         0         57,237,725           1.17         Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, iii. Lower of net balance sheet value determined through adjustments.         501,609,753         398,943,312         398,943,312           18.1         Receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract.         0         0         0         0         0         0         39,946,129         0					00 007 500
1.15       L Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       4,034,172       0       4,034,172         1.16       Receivables other than trade receivables       183,785,522       183,785,522       183,785,522         1.16       Receivables from clearing house or securities exchange(s)       0       0         1.17       Receivables from clearing house or securities nall markets including MIM gains.       57,237,725       0       57,237,725         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halrcut, (ii) cash deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the finance (iii) market value of any securities deposited as collateral by the securities held in the balance sheet value.       501,609,753       398,943,312         ii. I. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral trans and in trading, 5% of the net balance sheet value.       33,946,129       33,946,129         iii. Incase receivables are against and in daring the deposited as collateral by the aggregate of () the market value of securities held as collateral after a	1.14		26,007,569	0	26,007,569
ii. Receivables other than trade receivables       183,785,522         1.16       Receivables from clearing house or securities exchange(s)       0         1.16       Receivables from clearing house or securities exchange(s)       0         1.17       Receivables on entitlements against trading of securities in all markets including MM gains.       57,237,725       0         1.17       Receivables on entitlements against trading of securities held in the blocked account after applying VAR based Hairout. (II) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based Hairout. (II) cash receivables are against margin trading. 5% of the net balance sheet value.       501,609,753       398,943,312       398,943,312         10       ncase receivables are against margin trading. 5% of the net balance sheet value.       1					
1.16       Receivables from clearing house or securities exchange(s)       0         1.100% value of claims other than those on account of entitlements against trading of securities in all markets including MM gains.       0       0         1.17       Receivables from customers       0       0         1.18       Receivables from customers       0       0         1.11       Receivables from customers       0       0       0         1.11       Receivables from customers       0       0       0       0         1.11       Receivables are against margin trading of securities applying VAR based haircut.       0       0       0       0       0       0       0       0       0 </td <td>1.15</td> <td>i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months</td> <td>4,034,172</td> <td>0</td> <td>4,034,172</td>	1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	4,034,172	0	4,034,172
in 100% value of claims other than those on account of entitlements against trading of securities in all ooo       0         in arkets including MIM gains.       57,237,725       0         in Receivables on entitlements against trading of securities in all markets including MIM gains.       57,237,725       0         117       Receivables on entitlements against trading of securities in all markets including MIM gains.       57,237,725       0         117       Receivables on entitlements against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       501,609,753       398,943,312         ii. Incase receivables are against margin financing, the aggregate securities held in the source of met balance sheet value or value determined through adjustments.       ii.       iii.       iii.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral applying tradeducting haircut       iii.       iiii.       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		ii. Receivables other than trade receivables	183,785,522	183,785,522	
markets including MtM gains.       i. Receivable on entitlements against trading of securities in all markets including MtM gains.       57,237,725         1.17       Receivables from customers       i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut.       501,609,753       398,943,312         i. In case receivables are against margin financing. the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut.       iii ncase receivables are against margin trading. % of the net balance sheet value.       iii ncase receivables are against margin trading. % of the net balance sheet value.       iiii ncase receivables are against margin trading. % of the net balance sheet value.         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, (iii i. Net amount after deducting haricut       iiii ncase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering halicut by the respective.       33,946,129       0       33,946,129         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196       41,652,196         iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheat value       iv. Balance sheet val	1.16				
ii. Receivable on entillements against trading of securities in all markets including MtM gains.       57,237,725       0       57,237,725         1.17       Receivables from customers			0	0	
1.17       Receivables from customers			57 237 725	0	57 237 725
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halicut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based Halicut.       501,609,753       398,943,312       398,943,312         ii. In case receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Balance sheet value       33,946,129       0       33,946,129         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       41,652,196       41,652,19	1.17		01,201,120		01,201,120
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)       market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut       iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       33,946,129       0       33,946,129         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities held as collateral by the respective customer and (iii) the market value of securities and balances       iii. Sank Balance       41,652,196         v. Incase of other trade receivable form related parties.       iii. Incase receivables are outprive accounts       iiii. Incase the against balance and the adjust and the adjust and the adjust and the adjust and theld in sub-accounts       iiii. Bank Balance-cus		i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	501,609,753	398,943,312	398,943,312
i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iii. Net amount after deducting haricut       iii. Net amount after deducting haricut         vi. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       33,946,129       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       41,462,608       0       41,462,608         1.18       Cash and Bank balance-s       1       1       1       1       39,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,00		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut         iii. Incase receivables are against scurities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iv. Incase of other trade receivables are overdue, 0% of the net balance sheet value.       33,946,129       0         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       41,652,196       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market       41,652,196       41,652,196         v. Incoxe of other trade receivable form related parties.       0       33,946,129       0         vi. 100% haircut in the case of amount receivable form related parties.       0       0       1.00%         ii. Bank Balance-proprietory accounts       789,431,800       0       789,431,800       0       789,431,800       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005 <td></td> <td></td> <td></td> <td></td> <td></td>					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       33,946,129       0       33,946,129         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       41,652,196         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       41,652,196       41,652,196         vi. 100% haircut in the case of amount receivable form releta parties.       0       41,462,608       0       41,462,608         1.18       Cash and Bank balances       789,431,800       0       789,431,800       0       789,431,800       0       789,431,800       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005       0       1,399,005 <td< td=""><td></td><td>ii. Incase receivables are against margin trading, 5% of the net balance sheet value.</td><td></td><td></td><td></td></td<>		ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       33,946,129       0       33,946,129         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       **********       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196       *********       41,652,196         value of securities purchased for customers and held in sub-accounts after applying VAR based       haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       ************************************					
iii. Net amount after deducting haricut       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       33,946,129         v. Balance sheet value       33,946,129         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196         value of securities purchased for customers and held in sub-accounts after applying VAR based       41,652,196         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       41,462,008         vi. 100% haircut in the case of amount receivable form related parties.       1.18         Cash and Bank balances       41,462,608         i. Bank Balance- customer accounts       789,431,800         iii. Cash in hand       1,399,005         1.19       Total Assets       5,693,131,173         2.1       Trade Payables       1         i. Payable dagainst leveraged market products       1					
iv. Balance sheet value       41,652,196         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       41,652,196         value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       41,652,196         vi. 100% haircut in the case of amount receivable form related parties.		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.       41,652,196         1.18       Cash and Bank balances			33,946,129	0	33,946,129
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.       Image: Collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.       Image: Collateral after applying VaR based haircuts.         1.18       Cash and Bank balances       Image: Collateral after applying VaR based haircuts.         i. Bank Balance-proprietory accounts       41,462,608       Image: Collateral after applying VaR based haircuts.         ii. Bank balance-customer accounts       141,462,608       Image: Collateral after applying VaR based haircuts.         ii. Bank balance-customer accounts       1,399,005       Image: Collateral after applying VaR based haircuts.         iii. Cash in hand       1,399,005       Image: Collateral after applying VaR based haircuts.         2.1       Itabilities       Image: Collateral after applying VaR based haircuts.         2.1       Irade Payables       Image: Collateral after applying VaR based based haircuts.         ii. Payable to exchanges and clearing house       Image: Collateral after applying VaR based bas			41 652 196	******	41 652 196
securities held as collateral after applying VaR based haircuts.       image: securities held as collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.       image: securities held as collateral after applying VaR based haircuts.         1.18       Cash and Bank balances       image: securities held as collateral after applying VaR based haircuts.         i. Bank Balance-proprietory accounts       41,462,608       0         ii. Bank balance-customer accounts       789,431,800       0         iii. Cash in hand       1,399,005       0         1.19       Total Assets       5,693,131,173       3,806,897,976         2       Liabilities       1         2.1       Trade Payables       1         i. Payable to exchanges and clearing house       1       1         ii. Payable against leveraged market products       1       1		value of securities purchased for customers and held in sub-accounts after applying VAR based	,002,100		,002,100
vi. 100% haircut in the case of amount receivable form related parties.					
1.18       Cash and Bank balances       1         i. Bank Balance-proprietory accounts       41,462,608       0         ii. Bank balance-customer accounts       789,431,800       0         iii. Cash in hand       1,399,005       0         1.19       Total Assets       5,693,131,173       ************************************					
i. Bank Balance - proprietory accounts41,462,608041,462,608ii. Bank balance - customer accounts789,431,8000789,431,800iii. Cash in hand1,399,00501,399,0051.19Total Assets5,693,131,173*********************************	1.18				
iii. Cash in hand       1,399,005       0       1,399,005         1.19       Total Assets       5,693,131,173       ************************************		i. Bank Balance-proprietory accounts			
1.19     Total Assets     5,693,131,173     **********     3,806,897,976       2     Liabilities          2.1     Trade Payables          i. Payable to exchanges and clearing house          ii. Payable against leveraged market products					
2     Liabilities     1       2.1     Trade Payables     1       i. Payable to exchanges and clearing house     1       ii. Payable against leveraged market products     1	1,19				
2.1       Trade Payables			2,000,101,110		0,000,001,010
i. Payable to exchanges and clearing house ii. Payable against leveraged market products					
ii. Payable against leveraged market products		5			
III. Payable to customers         764,601,092         0         764,601,092		ii. Payable against leveraged market products			
	L	III. Payadie to customers	/64,601,092	0	764,601,092

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-JUN-17** 

of M/s. Arif Habib Ltd.

Submission Date 14-JUL-2017 20:51:19

			Page	2 Of 3
s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
2.2	Current Liabilities			]
	i. Statutory and regulatory dues	12,020,077	0	
	ii. Accruals and other payables iii. Short-term borrowings	137,432,729	0	
	iv. Current portion of subordinated loans	1,408,013,323	0	1,408,013,323
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements	184,086,493	0	184,086,493
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements	1,816,413	0	1,816,413
2.4	Subordinated Loans	1,810,413	0	1,810,413
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	n. Substantiated todals which do not runn the conditions specified by sect			
2.5	Total Liabilites	2,507,970,127	0	2,507,970,127
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
0.2				
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price;			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.3	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issue : if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments Negative equily of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financier/burchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financier/burchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the solw of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments. Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securites deposited as collateral by the purchaser after			
3.4	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financier/burchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financier/burchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **30-JUN-17** 

of M/s. Arif Habib Ltd.

Submission Date 14-JUL-2017 20:51:19

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites	0 3,185,161,046	0	1,298,927,849
5.12	Liquid Capital	0,100,101,040		1,230,327,043