## Liquid Capital Statement

for the month of 31-OCT-19
Of M/s. Arif Habib Ltd.

Submission Date 13-NOV-2019 17:51:07

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1.7% of the balance sheet value, in the case of fearure from 1-3 years.   154.832,562   11,612,442   143,220,125   11,015,000   11,01	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
13. Important in Cook. Socialities 13. Important in Cook. Socialities 13. Important in Cook. Socialities 14. Investment in Debt. Securities 15. Investment in Debt. Securities 15. Investment in Debt. Securities 16. Investment in Debt. Securities 17. Investment in Debt. Securities 17. Investment in Debt. Securities 18. Investment in English Securities 18. Investment in En	1	Assets			
Intendible Assett Intendible A	1.1	Property & Equipment	1,734,988,913	1,734,988,913	
Investment in Debt. Securities		Intangible Assets	7,243,686	7,243,686	
If Islaed than.   1.5% of the balance sheet value in the case of terrute upto 1 year.   162,410,976   8,120,546   114,200,022   11,510 the balance sheet value. In the case of femule from 1-3 years.   154,832,562   11,612,442   143,200,122   11,015 the balance sheet value. In the case of femule from 1-3 years.   154,832,562   11,612,442   143,200,122   11,015 the balance sheet value. In the case of femule of from 5 then 5 years.   154,832,562   11,612,442   143,200,122   11,015 the balance sheet value. In the case of femule of more than 2 years.   1,125 of the balance sheet value. In the case of femule of more than 2 years.   1,151 then 1,152 then 1,1					
1.5% of the balance sheet value in the case of tenue upto 1 year.   162.410,778   112.2549   114.226.212   11.726 of the balance sheet value, in the case of tenue from 1.3 years.   164.832,652   11.812.442   143.220.122   11.726 of the balance sheet value, in the case of tenue upto 1.3 years.   164.832,652   11.812.442   143.220.122   11.726 of the balance sheet value, in the case of tenue upto 1.9 years.   11.726 of the balance sheet value, in the case of fenue spring the sheet value in the case of tenue upto 1.9 years.   11.726 of the balance sheet value, in the case of fenue spring the sheet value in the case of tenue upto 1.9 years.   11.726 of the balance sheet value, in the case of fenue spring the sheet value in the case of tenue upto 1.9 years.   11.726 of the balance sheet value, in the case of fenue spring the sheet value in the case of tenue upto 1.9 years.   11.726 ye	1.4				
1.75% of the balance sheet value. In the case of formuse from of them years.   164.892,562   11,612.442   143.220.120				8,120,549	154,290,427
Full Indicated than:		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	154,832,562	11,612,442	143,220,120
Life of the balance sheet value in the case of feeting upto 1 years.					
III. 15% of the balance sheet value, in the case of feeture of more than 3 yeas.		i. 10% of the balance sheet value in the case of tenure upto 1 year.			
Investment in Equity Securities If listed the service of each securities on the cutoff date as computed by the Securities Exchange for oppositive securities whichever is higher.  If underlied, 10% of camping value.  If underlied, 10% of value value is underlied, 10% of value of camping value.  If underlied, 10% of value of value.  If underlied, 10% of value value.  If underlied, 10% of manufacture, 10% of value value.  If underlied, 10% of manufacture, 10% of value, 10% of value, 10% of the camping value value, 10% of the value value, 10% of the value, 10% of the value		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
Lif listed 15% or Vale of each securities on the cutoff date as computed by the Securities Exchange for logacities securities withchever is higher.  Lif unitside, 100% of camping value.  Lif unitside, 100% of camping value of the process of the value of investment in IPOMFrif for Sile. Amount paid as subscription money.  Lif unitside, 100% of the process of the value of investment in any asset including shares of listed securities that are in fillow, from the paper of the process of the value of investment in any asset including shares of listed securities which are pledged that 100% has been pledged to the three securities which are pledged of the process of the process of the value of the pledged of the pledged of the value of the pledged of the value of the pledged of the pledged of the value of the pledged of the pledg	1.5				
If unlisted times of canning value.		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	3,560,607,199	220,172,464	3,340,434,735
III Subscription money against investment in IPOInter for Sale. Amount paid as subscription money provided that shares have not been alleted or are not included in the investments of securities broker.					
in 100% Halicut shall be applied to Value of investment in any asset including shares of listed socurities in Block, Freeze or Piedge status as on reporting date. (July 19, 2017) Provided that 100% halicut shall not be applied in case of investment in those securities which are presented in from the piedged in factor of the Experiment of the International programment in the Piedged of International Companies (International Companies) in the Experiment of Piedged of International Companies (International Companies) in the Experiment in substitution in associated companies which executives which are paperated (Napud 2007).  If it filts of 20% or Van Goach securities as computed by the Securities Exchange for respective securities whichever is higher.  If it for 100% or 100%					
that are in Block, Freeze or Piedge status as on reporting date. (July 19, 2017) Provided that 100% harbust shall not be applied in case of investment in those securities which are Piedged in favor of Stock Exchange (Clearing House against Margin Financing requirements or piedged in favor of Stock Exchange (Clearing House against Margin Financing requirements or piedged in favor of Stock Exchange (Clearing House against Margin Financing requirements or piedged in favor of Stock Exchange (Clearing House against Margin Financing Financing)  1.0  1.1  1.1  1.1  1.1  1.2  1.3  1.4  1.5  1.5  1.6  1.6  1.7  1.7  1.7  1.7  1.7  1.7		provided that shares have not been alloted or are not included in the investments of securities broker.	0.040.045	0.040.045	
Provided that 100% halterut shall find be applied in case of Investment in those securities which are Pledged in favor of Banks against Short Term financing arrangements. In such cases, the halterut as provided in shade lill of the Requisitions in respect of Investment in securities shall be applicable (August 25, 2017).  1.1 Investment in absociated companies/undertaking investment in absociated companies/undertaking in the securities are such as a securities with the securities are such as a securities are contained to the securities and securities are contained to the securities with authorized intermediating applies to ensure securities under St.B.  1.1 Objects with authorized intermediating applies to the securities under St.B.  1.2 Accrued interest, profit or mark: up on amounts placed with financial institutions or debt securities and securities are contained to the securities and prepayments.  1.2 Wilderfor securities are contained to the securities and the reposition of the securities are contained to the securities and the securities are contained to the securities are contained and the securities a		IN 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017)	8,816,245	8,816,245	
In favor of Banks against Short I ferm financing arrangements. In such cases, the halicut as provided in schedule Ill of the Requipitations in respect of Investment in securities shall be applicable (August 25, 2017)  1.0 Investment in associated companies/fundertaking 1.1 Investment in associated companies/fundertaking 1.1 Ill investment in associated companies/fundertaking 1.1 Ill investment in associated companies/fundertaking 1.2 Investment in associated companies/fundertaking 1.3 Islatutoy orregulatory deposits basic deposits with the exchanges, clearing house or central depository 1.4 Ill investment in associated in a second of the exchanges of the exchange of the exchanges of the exchanges of the exchange of the exchanges of the exchange		Provided that 100% haircut shall not be applied in case of investment in those securities which are			
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in associated companies/undertaking					
Investment in associated companies/undertaking   If listed 20% or Van of each securities securities whichever is higher.   If listed 20% or Van of each securities accompleted by the Securities Exchange for respective securities whichever is higher.   If unlisted 1,00% of net value.   3,590,485   3,590,485   or any other entity.   3,590,485   or 3,590,485		schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher whichever is higher as the control of the contr			88,000,000	88,000,000	
whichever is higher.  ii. if unlisted, 10% of net value.  1.8 Statutory or regulatory deposits/assic deposits with the exchanges, clearing house or central depository or provide mitty.  1.9 Margin deposits with exchange and clearing house.  1.10 Posposit with authorized intermediary against borrowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accorded interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nii)  1.12 Interval in respect of markup accrued on loans to directors, subsidiaries and other related parties  1.13 Oblidends receivables.  1.14 Amount seceivable against Repo financing.  1.15 Into the included in the investments.  1.15 Into the included in the investments.  1.16 Receivables from clearing house or securities exchange(s)  1.16 Receivables from clearing house or securities exchange(s)  1.17 Receivables from clearing house or securities exchange(s)  1.18 Receivables are against margin financing, the aggregate If (i) value of securities held in the investments against trading of securities held in the market including MMM gains.  1.17 Receivables the deposition of case the applying VAR based haircut.  1.18 Lin case receivables are against margin financing, the aggregate If (i) value of securities held in the investments against trading of securities held in the biocked account after applying VAR based haircut.  1.18 Lin case receivables are against margin financing, the aggregate If (i) value of securities held in the olicited account after applying VAR based haircut.  1.19 Lin case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1.19 Lin case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1.10 Lin case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1.10 Lin case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1.19 Lin case of other trade receivables are	1.7				
1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or on other entity.  1.9 Margin deposits with exchange and clearing house.  1.10 Deposit with authorized intermediating against borowed securities under SLB.  1.11 Other deposits and prepayments  1.12 Accord interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII)  1.00 Interved interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NIII)  1.10 Dividends receivables.  1.11 Dividends receivables.  1.12 Accord interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NIII)  1.13 Dividends receivables.  1.14 Amount service against Repo financing.  2.15 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)  1.15 I Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables  1.17 Receivables other than trade receivables  1.18 I Receivables on the clearing house or securities exchange(s)  1.19 I Now value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers  1.18 Receivables from customers  1.19 I Receivables from such terms against trading of securities in all markets including MtM gains.  1.10 I Now value of claims other than those on account of entitlements against trading of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities esposited as collateral after applying VAR based Haircut.  1.19 I Now value of other trade receivables nor more than 5 days overdue, 0% of the net balance sheet value.  1.10 I Now and the deducting haircut  1.10 I Now and the deducting haircut  1.10 I Now and a market value of a customers and held i					
or any other entity.  1.9 Margin deposits with exchange and clearing house. 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NII) 1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. 1.15 Amounts receivable against Repo financing. 1.16 Amounts receivable against Repo financing. 1.17 Amounts receivable against Repo financing. 1.18 Receivables other than trade receivables. 1.19 Amounts receivable against Repo financing. 1.10 Amounts receivable against Repo financing. 1.11 In the included in the investments. 1.12 In the firm Loan to Employees: Loans are Secured and Due for repayment within 12 months 1.15 In Short Ierm Loan to Employees: Loans are Secured and Due for repayment within 12 months 1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivables from clearing house or securities exchange(s) 1.19 Markets including MtM gains. 1.10 Receivables from customers 1.10 Receivables from customers 1.10 In case receivables are against trading of securities in all markets including MtM gains. 1.10 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral bythe financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, iii. Incase receivables are against margin financing, 5% of the net balance sheet value. 1.10 Receivables are against sucrities borrowings under SLB, the amount paid to NCCPL as collateral type netering into contract, iii. Incase receivables are against sucrities borrowings under SLB, the amount paid to NCCPL as collateral type netering into contract, iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1.18 La mount after deducti	1.0		2 500 405	2.500.405	
1.10   Depois with authorized intermediany against borrowed securities under SLB.   25,114,892   25,114,892   25,114,892   21,114,892   21,114,892   21,114,892   22,114,892	1.8		3,590,485	3,590,485	
1.12   Accord Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII)   1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties   32,990,820   0, 32,990,820   1.14   1.15		Margin deposits with exchange and clearing house.	9,858,269	0	9,858,269
1.12   Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)   100% in respect of mark-up accrued on loans to directors, subsidiaries and other related parties   32,990,820   0   32,990,820   113,000   32,990,820   0   32,990,820   336,611,297   336,611,297   Amounts receivable against Repp financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)   1.5bott Term Loan To Employees: Loans are Secured and Due for repayment within 12 months   0   0   0   0   0   0   0   0   0		Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments	25 114 892	25 114 892	
1.13   Dividents receivables   32,998,20   0   32,998,20   1.13   Dividends receivables   32,998,20   0   32,998,20   1.14   Amount seceivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments)   1.15   1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months   0   0   0   0   0   0   0   0   0		Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	20,111,002	20,111,002	
1.14 Amounts receivables. 1.16 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.15 I. Short Izem Loan To Employees: Loans are Secured and Due for repayment within 12 months  1.16 Receivables other than trade receivables 1.17 Receivables from clearing house or securities exchange(s) 1.18 Receivables from clearing house or securities exchange(s) 1.19 Receivables from clearing house or securities exchange(s) 1.10 Receivables from clearing house or securities in all markets including MtM gains. 1.10 Receivable on entitlements against trading of securities in all markets including MtM gains. 1.10 Receivable on entitlements against trading of securities in all markets including MtM gains. 1.10 case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral behaved. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of net balance sheet value or value determined through adjustments. 1. Lower of one that the deducting haircut iii. Incase receivables are against margin trading, 5% of the net balance sheet value. 1. Lower of one that trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. Rease receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 1. Receivables are against accollateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applyin					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)  1.15 1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 0 0 0 1.16 Receivables from Clearing house or securities exchange(s) 1. 10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1. Receivable on entitlements against trading of securities in all markets including MtM gains. 1. Receivable on entitlements against trading of securities held in the blocked account after applying VAR based halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the net balance sheet value.  1. Lower of net balance sheet value or value determined through adjustments.  2. Lower of net balance sheet value or value determined through adjustments.  3. Lower of net balance sheet value or value determined through adjustments.  4. Lower of net balance sheet value or value determined through adjustments.  5. Loans of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  6. Loans and the deducting haircut  7. In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  8. Loans and the deducting haircut  9. Loans and the deducting haircut  10. In case of other trade receivables not more than 5 days overdue, 0% of the net	1.13	Dividends receivables.	32,990,820	0	32,990,820
shall not be included in the investments.)  1.15  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months  1. Receivables other than trade receivables  1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  1. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.  1. Receivables from customers  1. In case receivables from customers  1. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based halicut.  1. Lower of net balance sheet value or value determined through adjustments.  1. In case receivables are against margin trading, 5% of the net balance sheet value.  1. In case receivables are against margin trading, 5% of the net balance sheet value.  1. In case receivables are against securities borrowings under St.B, the amount paid to NCCPL as collateral upon entering into contract,  1. In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1. In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  1. In case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts, vi. 100% halicut in the case of amount receivable form related parties.  1. Bank Balance-proprietory accounts  1. Bank Balance-proprietory accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts	1.14	Amounts receivable against Repo financing.	336,611,297	0	336,611,297
ii. Receivables other than trade receivables  1.16 Receivables from clearing house or securities exchange(s)  1.17 Receivables from clearing house or securities exchange(s)  1.18 Receivable on entitlements against trading of securities in all markets including MtM gains.  1.19 Receivables from customers  1.10 Receivables from customers  1.10 Receivables from customers  1.10 Receivables from customers  1.11 Receivables from customers  1.12 Receivables from customers  1.12 Receivables from customers  1.13 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halicut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut.  1. Lower of net balance sheet value or value determined through adjustments.  1. Incase receivables are against margin trading, 5% of the net balance sheet value.  1. Lower of net balance sheet value or value determined through adjustments.  1. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, lii. Net amount after deducting haircut  1. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, lii. Net amount after deducting haircut  1. Incase receivables are overdue, or 5 days or more, the aggregate of (i) the market value.  1. Receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  1. Bank Balance-proprietory accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts  1. Bank Balance-customer accounts		shall not be included in the investments.)			
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1.16 Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  1.17 Receivables from customers i. In case receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank Balance-customer accounts 514,111,715 0 514,111,715		ii. Receivables other than trade receivables	112.539.350	112.539.350	
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ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  514,111,715  0 514,111,715					
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iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  514,111,715  0 514,111,715		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  514,111,715  0 61,250,364 0 6,250,364 0 40,774,371 40,					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  ii. Bank balance-customer accounts  514,111,715  0 514,111,715			6,250,364	0	6,250,364
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  514,111,715  0 514,111,715			40 774 974	******	AO 774 074
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vi. 100% haircut in the case of amount receivable form related parties.       Cash and Bank balances         1.18       Eash and Bank balances         i. Bank Balance-proprietory accounts       81,245,157       0       81,245,157         ii. Bank balance-customer accounts       514,111,715       0       514,111,715		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       81,245,157       0       81,245,157         ii. Bank balance-customer accounts       514,111,715       0       514,111,715					
i. Bank Balance-proprietory accounts       81,245,157       0       81,245,157         ii. Bank balance-customer accounts       514,111,715       0       514,111,715	1.18				
		i. Bank Balance-proprietory accounts			
L UII CASCIIO DADO L 16 501 D DE 16 501 D		ii. Bank balance-customer accounts iii. Cash in hand	514,111,715 16,591	0	

## Liquid Capital Statement

for the month of 31-OCT-19
Of M/s. Arif Habib Ltd.

Submission Date 13-NOV-2019 17:51:07

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	6,958,585,893	*******	4,738,386,867
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable against levelaged market products	570,120,211	0	570,120,211
2.2	Current Liabilities			5: 0, :=0,=:
	i. Statutory and regulatory dues	34,817,540	0	34,817,540
	ii. Accruals and other payables iii. Short-term borrowings	19,683,207	0	19,683,207
	iv. Current portion of subordinated loans	3,709,886,989	U	3,709,886,989
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation	45,690,997	0	45,690,997
	ix. Other liabilities as per accounting principles and included in the financial statements	40,000,001	O O	40,030,337
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	ii. Subolulitated loars writeri do not fulfill the conditions specified by SLCF			
2.5	Total Liabilites	4,380,198,944	0	4,380,198,944
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
2.0	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed New Association Conversition and			
3.3	Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
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## Liquid Capital Statement

for the month of 31-OCT-19 of Ms. Arif Habib Ltd.

Submission Date 13-NOV-2019 17:51:07

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financees/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.	0	99,971,141	99,971,141
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	99,971,141	99,971,141
3.12	Liquid Capital	2,578,386,949	*******	258,216,782